

FORM 1449* <b>INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)			Docket Number: HPCO.024PA	Application Number: 09/838,759
			Applicant: Russell et al.	
			Filing Date: April 19, 2001	Group Art Unit: Unknown

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
						RECEIVED
						AUG 13 2001
						Group 2100
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
						NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>pwk</i>	6/99	Gibson, Nagle et al., "NASD Scalable Storage Systems", USENIX99, Extreme Linux Workshop, Monterey CA, June, 1999.				
<i>pwk</i>	12/98	Goibioff, Nagle, Gibson, "Integrity and Performance in Network Attached Storage", Carnegie Mellon University, School of Computer Science Technical Report, CMU-CS-182, December 1998.				
<i>pwk</i>	1998	Gibson, Nagle, Amiri, et al., "A Cost-effective, High-bandwidth Storage Architecture," Proceedings of the 8 <sup>th</sup> Conference on Architectural Support for Programming Languages and Operating Systems, 1998.				
<i>pwk</i>	7/97	Gibson, Nagle, Amiri, et al., "Filesystems for Network-Attached Secure Disks", CMU SCS Technical Report version of Carnegie Mellon University School of Computer Science Technical Report, "Security for Network Attached Storage Devices", CMU-CS-97-185, 1997.				
<i>pwk</i>	6/97	Gibson, Nagle, Amiri, et al., "File Server Scaling with Network Attached Secure Disks", Proceedings of the ACM International Conference on Measurement and Modeling of Computer Systems (Sigmetrics '97), Seattle, WA, June 15-18, 1997.				
<i>pwk</i>	9/96	Riedel, Gibson, "Understanding Customer Dissatisfaction with Underutilized Distributed File Servers, Proceedings of the 5 <sup>th</sup> NASA Conference on Mass Storage Systems and Technologies, College Park, MD, September 17-19, 1996.				

EXAMINER *Paula Klimack*DATE CONSIDERED *28 September 2004*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>10003536-1</b>	APPLICATION NO. <b>09/838,759</b>	CONFIRMATION NO. <b>6</b>
	APPLICANT <b>Xu, Lu, et al</b>		
	FILING DATE <b>4/19/01</b>	GROUP	

## REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
<i>pwk</i>	1A US5931947		burns, et al	
	1B			
	1C			
	1D			<b>RECEIVED</b>
	1E			JAN 07 2004
	1F			
	1G			Technology Center 2100
	1H			
	1I			
	1J			
	1K			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
<i>pwk</i>	1L	DE10032962A	3/15/01	Makherjee, et al		
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>pwk</i>	1Q	Solomon D, A, The windows NT kernal Architecture, Computer IEEE computer society, Long Beach, CA, US, US, Vol. 31, No. 10, Oct 1998 (1998-10), pp 40-47, XP000859745, ISSN:0018-9162, page 42, column 1-3, line 1 - line 20
<i>pwk</i>	1R	Thomas E. Anderson, et al, Serverless Network File Systems, Operating systems review (SIGOPS), acm headquarter, New York, US, vol. 29, No. 5, 12/1/95, pp 109-126, XP000584821
<i>pwk</i>	1S	Garth Gibson, et al, "NASD: Network-attached Secure Disks", Online 12/14/1999, XP002254628

EXAMINER

*Paula Kilimach*

DATE CONSIDERED

*28 September 2004*